

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

In re Application of:  
Brian Darrell Hollis, et al  
For: ROLLER SWITCH  
Serial No.: 09/933,416  
Filed: AUGUST 20, 2001

)  
) Confirmation No. 5742  
) Docket No. DN2001162  
) Art Unit: 2878  
) Examiner: Seung C. Sohn  
)

) I hereby certify that this correspondence is being  
) deposited with the United States Postal Service as  
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) Stop DD, Commissioner for Patents, P.O. Box 1450,  
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Lois Gould  
Lois Gould

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE IN COMPLIANCE WITH 37 C.F.R. §1.98**

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, the Applicants are calling the following to the attention of the Patent Office and request that they be considered by the Examiner:

United States Patent 5,936,398 A  
United States Patent 4,777,729 A  
United States Patent 3,887,806 A  
European Patent Publication WO 81 01827 A

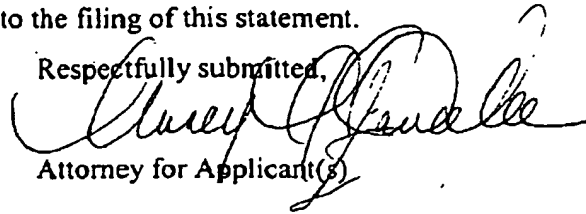
The above-identified material may be relevant to the prosecution of the subject patent application because it was cited in the Novelty Search Report of our corresponding Netherlands patent application. A copy of the above-identified references, a copy of the European Search Report, and Form PTO-1449 are enclosed herewith.

**CERTIFICATION:**

I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than 3 months prior to the filing of this statement.

Respectfully submitted,



Attorney for Applicant(s)

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Enclosure(s)

Sheet 1 of 1

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY DOCKET NO.</b> DN2001162	<b>SERIAL NO.</b> 09,933,416  <b>CONFIRMATION No.:</b> 5742
	<b>APPLICANT (S)</b> Brian Darrell Hollis, et al	
	<b>FILING DATE</b> AUGUST 20, 2001	<b>GROUP</b> 2878

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	5 9 3 6 3 9 8	Aug 10, 1999	Bellefeuille	324	206	
	4 7 7 7 7 2 9	Oct. 18, 1988	Hausler	32	147 L	
	3 8 8 7 8 0 6	June 3, 1975	Rodak et al	240	223 B	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Translation YES NO
	8 1 0 1 8 2 7	July 9, 1981	PCT	365H	7-14	X

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	WO 81 01827 A, July 9, 1981
EXAMINER	DATE CONSIDERED:

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

The Patent & Trademark Office stamp hereon is evidence receipt in the Patent & Trademark Office of the following documents(s).

Case # DN2001-162 Atty: NTK Serial No.: 09/933,416

Inventor(s): Brian Darrell Hollis et al Date 3/23/04

Title: ROLLER SWITCH

- |   |  |
|---|--|
| <input type="checkbox"/> Cover Letter (Circle one: Des, Prov<br>Non-Prov, Div, Cont, CIP, PCE, IPE) | <input type="checkbox"/> Election response       |
| <input type="checkbox"/> Specification _____ Pgs  | <input type="checkbox"/> Response/Amendment      |
| <input type="checkbox"/> Drawings: _____ Pgs  | <input type="checkbox"/> Notice of Appeal        |
| <input type="checkbox"/> Oath/Declaration   | <input type="checkbox"/> Appeal Brief            |
| <input type="checkbox"/> Response to Missing Parts  | <input type="checkbox"/> Issue fee transmittal   |
| <input checked="" type="checkbox"/> Information Disclosure Statement                                | <input type="checkbox"/> New drawing transmittal |
| <input type="checkbox"/> Other: _____   | <input type="checkbox"/> Assignment              |

Fee: \$ --

823 (1/13/03Rev.)

## Bureau voor de Industriële Eigendom


**RAPPORT BETREFFENDE HET ONDERZOEK  
NAAR DE STAND VAN DE TECHNIEK**

Octrooiaanvraag Nr.:

NO 135261

NL 1021302

VAN BELANG ZIJNDE LITERATUUR			
Categorie	Vermelding van literatuur met aanduiding voor zover nodig, van speciaal van belang zijnde passages	Van belang voor conclusie(s) Nr.:	Internationale classificatie
X	WO 81 01827 A (NCR CO) 9 Juli 1981 (1981-07-09)	1	G01B5/04
Y	* het gehele document *	2-4,8	G07D7/16 B07C1/16
X	US 5 936 398 A (BELLEFEUILLE ALAIN) 10 Augustus 1999 (1999-08-10) * kolom 3, regel 20 - regel 22 *	1	
Y	US 4 777 729 A (HAEUSLER AUGUST) 18 Oktober 1988 (1988-10-18) * figuur 2 *	2,3	
Y	US 3 887 806 A (RODAK JOHN J ET AL) 3 Juni 1975 (1975-06-03) * kolom 4, regel 38 - regel 56 *	4,8	
			Onderzochte gebieden van de techniek
			G01B G07D B07C B65H G06M G03G
Indien gewijzigde conclusies zijn ingediend, heeft dit rapport betrekking op de conclusies ingediend op : .....			
Plaats van onderzoek <b>'S-GRAVENHAGE</b>		Datum waarop het onderzoek werd voltooid <b>6 Februari 2004</b>	Vooronderzoeker (EOB) <b>Arca, G</b>
CATEGORIE VAN DE VERMELDE LITERATUUR			
X : op zichzelf van bijzonder belang Y : van bijzonder belang in samenhang met andere documenten van dezelfde categorie A : achtergrond van de stand van de techniek O : verwijzend naar niet op schrift gestelde van de techniek P : literatuur gepubliceerd tussen voorraang- en indieningsdatum		T : niet tijdig gepubliceerde literatuur over theorie of principe ten grondslag liggend aan de uitvinding E : andere octrooipublicatie maar gepubliceerd op of na indieningsdatum D : in de aanvraag genoemd L : om andere redenen vermelde literatuur & : lid van dezelfde octroofamilie, corresponderende literatuur document	

EOB FORM 02 83 (P0414)